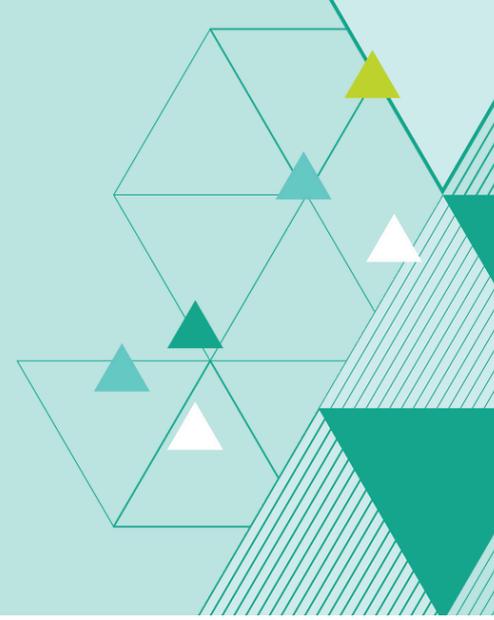


# Jatheon Cloud for Financial Services

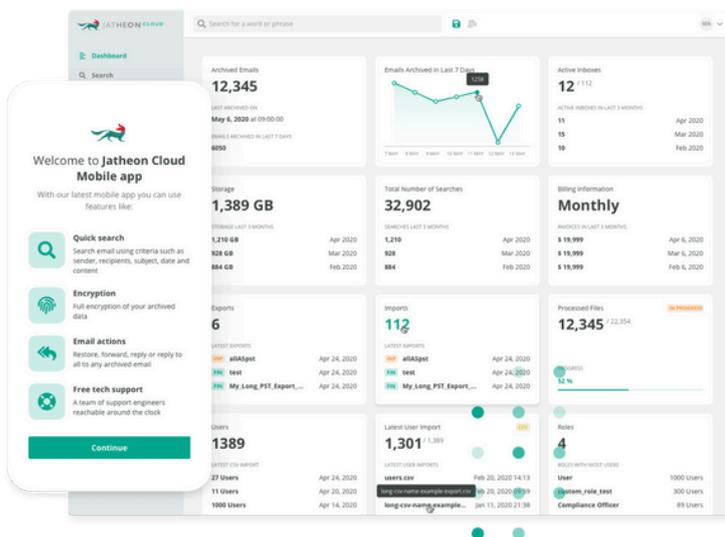


## The Challenge

Financial services firms face the most complex and scrutinous regulatory challenges around the world. Couple this with growing data volumes, communication channels, and data sources — and the threat of non-compliance is real. This can cost firms steep financial penalties, impact productivity, threaten brand reputation and ultimately hinder customer trust.

## Product Overview

Jatheon helps you keep pace with SEC, FINRA and other compliance regulations with an all-in-one archiving solution to automate records retention, supervision, and audit readiness across the widest array of use cases and communication channels.



## Unmatched Visibility and Control

All searches, message views, exports, retrievals and supervisory activities can be tracked with complete audit trails and comprehensive reporting to provide complete visibility into compliance tasks, who performed them, and when. Jatheon serves the needs of IT, Compliance and Legal leaders and brings greater insights and decision-making power to the people who need it most.

# Key Benefits

Jathon offers financial services firms a robust set of features to simplify compliance and legal obligations, while cutting operational expenses. Included in the FINRA Compliance Vendor Directory, Jathon leads the financial services industry in keeping pace with evolving regulations and allowing firms to communicate with confidence.



## Automated Retention

- ▶ SEC 17a-4 & FINRA Compliant, data is stored in a tamper-proof and auditable WORM state.
- ▶ Comprehensive capture of email, SMS, social media, chat, Bloomberg and other collaboration tools.



## Audit Readiness

- ▶ Audit Log of who performed compliance tasks, and when.
- ▶ Evidence of review reports and chain-of-custody reporting.



## Ediscovery and Litigation Readiness

- ▶ Perform collection, legal holds and review tasks in-house instead of using costly third parties.
- ▶ Powerful search, case management, PII redaction and audit capabilities means faster and more efficient ediscovery when the clock is ticking.
- ▶ Message integrity verification to prove authenticity.



## Compliance Workflows

- ▶ Customize and centralize retention schedules, prevent alteration and deletion of data, and monitor all user activities in the archive.
- ▶ Controls privacy and prevents unauthorized access and actions with custom roles and unique permissions.



## Supervision

- ▶ Proactive monitoring of compliance violations.
- ▶ Real-time dashboards and reporting.



## Privacy and Protection

- ▶ Meet data regulations and laws including MiFID II, GDPR and CCPA with confidence.
- ▶ Access requirements like two-factor authentication and geofencing.
- ▶ Encryption and AWS-guaranteed data protection.

# Supported Platforms

\* No minimum seat requirement

- ▶ Email (Gmail, Office 365, Microsoft Exchange, IBM Lotus Notes, Novell, Bloomberg)
- ▶ Bloomberg Chat
- ▶ WhatsApp
- ▶ MS Teams
- ▶ Zoom
- ▶ Twitter
- ▶ Facebook
- ▶ Instagram
- ▶ Text messages and calls



## About Jatheon

Since 2004, Jatheon has relentlessly focused on delivering an all-in-one data archiving solution that supports the widest array of use cases, communication channels and deployment options. Our solution mitigates risk, saves costs and improves visibility with advanced data retention, search/discovery, and supervision capabilities, all backed by world-class support. With analyst validation from Gartner®, Radicati and InfoTech, Jatheon achieves 100% customer retention and is consistently ranked among the highest recommended archive solutions on the market.

Jatheon is headquartered in Toronto, Canada and serves clients worldwide through a wide network of global business partners. For more information, please visit [www.jatheon.com](http://www.jatheon.com).

